

Predicting the Literacy Achievement of Struggling Readers:

Does Intervening Early Make a Difference?

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Mounting evidence continues to document an achievement gap between students. The gap is evident as early as kindergarten on measures of letter recognition and letter-sound relationships, between European Americans (Whites) and African Americans, and between Whites and Hispanic children (West, Denton & Reaney, 2000). A similar gap is also found along economic lines (West, Denton & Germino Hausken 2000 in Denton, West & Walston, 2003; Zill & West, 2000).

Early intervention, in the form of one-to-one tutoring, has proven to be effective in accelerating the progress of the lowest performing children in first grade to reach average levels of performance (Pinnell, Lyons, DeFord, Bryk & Seltzer, 1994; Vellutino & Scanlon, 2002). In addition, findings from recent research on one intervention in particular, Reading Recovery, shows that the achievement gap between average and low performing groups either narrowed or closed along economic and race/ethnicity lines (Rodgers, E., Gómez-Bellengé, F.X. & Wang, C., 2004). We do not know, however, whether the intervention itself was a factor in the students' progress towards closing the achievement gap.

The purpose of this study therefore is to examine whether having an early literacy intervention or not, in this case Reading Recovery, is a significant predictor of a student's reading achievement in spring. The findings should add to our understandings of how the achievement gap might be impacted by intervening early when students first encounter difficulty with literacy learning.

Theoretical Frame

Two constructs are explored in greater detail in this section: the achievement gap and early intervention. We also describe Reading Recovery, the early intervention that we use as the context for this study.

Understanding the Achievement Gap

The concept of “closing the gap” stems from years of research that shows certain demographic groups academically under-perform relative to other groups. Differences in progress are evident in reports which identify gaps in achievement along economic and race/ethnicity lines as early as Kindergarten, and in first (Denton & West, 2002) and fourth grade (U.S. Department of Education 2001). In fact, only Asians/Pacific Islanders have shown an improvement in their scores since 1992; African Americans, Hispanics and American Indians continue to score at lower levels than Whites (U.S. Department of Education, 2001) and the gains that were made in the early 1990’s are now decreasing (Lee, 2002).

Achievement gaps are not limited to the United States; similar gaps have been documented between minority and majority cultures in other countries. In New Zealand for example, Maori and Pacific Island children typically achieve at lower levels than other children, and children in less economically advantaged schools achieve at lower levels than children in schools that are more economically advantaged (McNaughton, Phillips, & MacDonald, 2003).

Although research findings converge on variables such as race/ethnicity, language status and economic status as potent predictors of reading difficulties, (Snow, Burns & Griffin, 1998) it is not known why the gap exists. It is unlikely, however, that race is a determining factor. The achievement gap is far more likely a result of an interaction of social, familial and economic factors (Bainbridge & Lasley, 2002). For example, research shows that parents’ education level and the economic level of the family are more meaningful predictors of school achievement than race. These interacting factors mean that individual children come to school with different experiences, making some children less prepared for school

than others. As Bainbridge and Lasley note, “Prior learning influences future achievement for all students, regardless of race” (p.427).

A second set of factors that appear to contribute to achievement differences, in addition to those that exist at the level of the individual, are those at the school level (Land & Legters, 2002). School climate, in terms of expectations for student progress, and policies such as tracking and retention, all have a negative impact on student achievement and are likely to represent differences that become manifested as achievement gaps along racial and economic lines.

What is clear is that the achievement gap must be closed and that it will take more than a quick fix such as mandating a phonics program or emphasizing direct teaching, to compensate for the differences that exist (Bainbridge and Lasley (2002). Nor can the problem be left up to individual teachers to solve, because as Bainbridge and Lasley note, change is needed on a grander scale to compensate for the inequities present in our society.

Early Intervention and Reading Recovery

A substantial body of research demonstrates that students who experience difficulty learning to read early in their academic career continue to struggle in later years (Juel, 1988; Vellutino and Scanlon 2002). On the basis of what we know about the achievement gap and who is affected, we can expect therefore that not only will children fall behind their peers along racial and economic lines, but that this gap may stay with them and impact their class ranking for years to come.

One-to-one teaching is a recognized form of intervention for children having extreme difficulty learning to read and is becoming more widely used to prevent literacy difficulties (Wasik & Slavin, 1993). One well documented example of an early intervention is Reading Recovery (RR), a short-term series of lessons for students who have the lowest

achievement in literacy learning in the first grade. Students are individually taught by a specially trained teacher daily for 30 minutes for an average of 12-20 weeks. The goal is for students to develop an effective reading and writing processing system in order to work within an average range of classroom performance (Clay, 1993).

Initial Reading Recovery teacher professional development is for one academic year. Following the year of training, teachers continue to participate in professional development sessions with other Reading Recovery teachers. A central feature of this professional development includes teaching lessons behind a one-way mirror while colleagues observe and discuss on the other side (Askew et. al, 1998). Their inquiry is led by a staff developer called a teacher leader who guides their observations and challenges their conclusions about the teaching and learning that they are observing. Teachers develop skills in observation and analysis of moment-by-moment teacher decision-making. This emphasis on using talk to guide thinking and understanding is rooted in a Vygotskian understanding of the role of language in cognition (Luria, 1982).

The present report is the second in a series of research studies that we conducted to address the problem of the achievement gap. In the first paper, we reported the results of a state-wide study which compared the progress of students who received Reading Recovery to a random sample of first grade students (Rodgers, Gómez-Bellengé, & Wang, 2004). In that state-wide study, we disaggregated students' progress on literacy measures along two lines, race/ethnicity and economic status, and we analyzed differences in scores using independent t-tests and measures of mean gains. We established that a gap did exist in the state along race/ethnicity and economic lines between the random sample and the students who received the early intervention. We also found that the differences between the random

sample and the intervention students were no longer significant in spring, leading us to conclude that the students in the intervention group either closed or narrowed the gap.

While that initial study demonstrated that the students who received the Reading Recovery intervention made sufficient gains to make the differences between their scores and the scores of students in the random sample insignificant by spring, it did not tell us whether those gains were attributable to the intervention itself. In this present study therefore, we hypothesize that participation in Reading Recovery predicts progress in reading achievement. The following research question guided our inquiry: To what extent does participation in Reading Recovery explain whether a student reaches an average reading level or not, by the end of first grade?

Method

For the present study, we relied on national evaluation data of Reading Recovery for the 2002-2003 school year. We describe in this section first how national data are collected, and then how we formed two matched groups from the national sample, a group that received Reading Recovery and a group that did not, in order to investigate whether having Reading Recovery is a significant predictor of reading achievement, as measured by spring text reading level.

Reading Recovery National Data Collection Procedures

As part of the annual national evaluation, Reading Recovery teachers collect and enter data for all students served as well as data for a national comparison group of first grade students in schools with Reading Recovery. Data are entered on a web site and processed at the National Data Evaluation Center (NDEC) at The Ohio State University. In 2002-03, data were collected by nearly 17,000 teachers on 137,887 students who received Reading Recovery that year and a comparison group of 18,210 first grade students (Gómez-

Bellengé & Rodgers, 2004). This process was led by a network of about 700 teacher leaders and 35 university faculty.

The comparison sample consists of two students selected at random from the mainstream first grade population of each school with Reading Recovery. Since there are often not enough Reading Recovery teachers in each school to serve all of the children who need it (see Askew et. al, 1998 for a discussion of full coverage), the comparison group encompasses a range of reading abilities and includes low readers not served by the intervention.

Literacy achievement data are collected at fall and in spring, including each student's scores on the six tasks of Clay's *An Observation Survey of Early Literacy Achievement (OS)* (Clay, 2002): Letter identification (LI) measures how many upper and lower case letters a child can identify by either a name, sound or word that starts with that letter. The highest score that can be achieved is 54. The Ohio Word Test (OWT) measures the number of words a child can correctly identify from a list of 20 words (adapted from the Dolch Word List; see Pinnell, Lyons, Young and DeFord, 1987). Concepts about Print (CAP) examines the child's concepts or understandings about print. The highest score that can be achieved is 24. The Hearing and Recording Sounds in Words (HRSW) task measures how many phonemes a student can hear and record when dictated a sentence that contains 37 phonemes. The Writing Vocabulary (WV) task measures the number of words that a child can write in 10 minutes. The sixth task, Text Reading Level (TRL) involves taking a running record (Clay, 2002) of the child's oral reading. The highest level at which the child reads with no less than 90 percent accuracy is considered the child's instructional text reading level. There is no ceiling score on this task. (See Clay, 2002, for the established validity and reliability coefficients for each task).

Text reading levels have been applied to approximately 2500 children's trade books. The lowest level books, 1-4, are equivalent to a basal readiness level. These books are highly predictable; generally with only 1-2 sentence patterns and a good match between pictures and print. Sentence patterns become quite varied by levels 13-15 and include structures that might be rare in oral language (for example, "Once upon a time..."). Beyond level 15 the stories become more episodic, and the pictures provide only low support (Rodgers, 2005). School districts that use RR levels to set benchmarks often cite levels 16-18 as appropriate for end of first grade (see for example, Dantas, 2003). The running record measure which is used to determine text reading level has good face validity (Clay, 2002) and established reliabilities based on data from 96 urban children in fall 1990. Rasch item separation reliability (equivalent to Cronbach's Alpha) is .9896 (Wright, 1989).

Achievement data external to the OS are also collected as part of the national data evaluation. Classroom teachers rank each student's reading achievement relative to classroom peers and report a reading group placement for fall and spring.

In addition, teachers collect data on the outcome status of each student's series of Reading Recovery lessons: successfully discontinued, recommended for further assessment and action after a full series of lessons, moved, incomplete (which occurs if the student runs out of time in the school year to receive a full series of lessons) or none of the above; a category used if the student is removed from RR for unusual reasons such as placement back in kindergarten.

Finally, background demographic variables including price paid for school lunches (free, reduced, regular, used as a proxy for economic status), sex, language status and race/ethnicity are also collected annually.

Participants

Reading Recovery teachers use scores from the six tasks of the *OS* to select the lowest achieving readers in first grade for the series of lessons. Their selection decisions are externally validated in the national evaluation by the classroom teachers' rankings of each student. In 2002-03 for example, classroom teachers rated 92% of the students selected for RR as being low readers in the fall of first grade. As well, when compared to a national sample representing mainstream first grade children, students served by RR are overwhelmingly concentrated in the low and low-average achievement quintiles for all six tasks of the *OS* before receiving the intervention (Gómez-Bellengé & Rodgers, 2004).

Because Reading Recovery students are low achieving readers by definition, the comparison sample that we formed for this study also needed to be comprised of low achieving readers from the national comparison group. In order to form the two groups from the national sample then, we first formed the comparison group (Not Reading Recovery) from the national random sample (RS) and then randomly selected students from the Reading Recovery (RR) group in order to have two matched groups.

We used the following steps to select students from the national sample for the Reading Recovery and Not Reading Recovery groups:

1. Factor analysis was run using national data for RR students (n=137,887), indicating a single factor which consists of all six measures of OS. The combination of all six measures explains 52.75% of the total variance.
2. Percentile rankings of all random sample (RS) students' (n=18,120) fall TRL in the database were examined. All six measures of the OS were used to locate the lowest 20th percentile. When the exact 20th percentile was not identifiable, the closest percentile was used.

3. Descriptive statistics including frequency distributions, box-plots and histograms were scrutinized in order to select RS students with the same characteristics as RR students with respect to all six measures of OS.
4. From the group of RS students who were not served by RR, we selected those whose fall reading group was either lower middle or low in order to form the Not Reading Recovery Group as a match with RR students whose fall reading group was either lower middle or low.
5. Descriptive statistics of the means and percentile ranks as well as histograms for fall TRL were compared between the selected groups from RS students and RR students. The distributions and means were all very close to each other and independent sample t-test failed to detect a statistically significant difference of fall TRL between the two groups ($p=.309$).
6. To make data more interpretable, only White, Hispanic, and African American students were selected among the race/ethnic group categories and students eligible for regular and free-priced school lunches among the socio-economic classifications. For students served by RR, only those who received a full series of lessons (20 weeks), whether successful or not, were selected in order to exclude those who had moved, run out of time at the end of the school year to have a full series of lessons, or were removed from Reading Recovery under unusual circumstances. Those children who received a full series of lessons represent about 77% of all children served. We did not limit student selection to only those who were selected, but only to those who had an opportunity to receive the full intervention.

The final sample of students contained 744 students in all. Table 1 displays the demographic information of the sample.

Table 1: Demographic Characteristics of the Study Groups

	Groups	Reading	Not Reading
		Recovery	Recovery
Sex	Boys (%)	181 (48%)	242 (66%)
	Girls (%)	197 (52%)	124 (34%)
Race/Ethnicity	White (%)	205 (54%)	226 (62%)
	African American (%)	102 (27%)	81 (22%)
	Hispanic (%)	71 (19%)	59 (16%)
Economic Status	Free School Lunch (%)	221 (58%)	179 (49%)
	Regular Priced School	157 (42%)	187 (51%)

Table 2 contains the descriptive statistics of the sample for students in the Reading Recovery group and those in the Not Reading Recovery group, on the fall Text Reading measure.

Table 2: Fall text level means and standard deviations (SD) for the final sample.

	White Mean(SD)	African American Mean(SD)	Hispanic Mean(SD)	Free Lunch Mean(SD)	Regular Lunch Mean(SD)
RR	.91 (1.00)	.63 (.86)	.68 (.88)	.66 (.85)	.97 (1.05)
Not RR	.85 (.85)	.73 (.78)	.57 (.72)	.64 (.77)	.91 (.84)
Total	.88 (.92)	.68 (.82)	.62 (.80)	.65 (.81)	.94 (.94)

Independent sample t-tests on all six tasks of the *Observation Survey* (Clay, 2002) indicated that the RR group and Not RR group were similar to each other in the fall. Although multiple t-tests introduce an increased chance of type-I error, no statistically significant differences were found (all p 's $>.10$).

Model Building and Data Analysis

To test the effect that participation in Reading Recovery has on reaching a criterion reading level, we used logistic regression analysis with students' year end spring text reading level (STRL) as the dependent variable and participating or not in Reading Recovery as an independent variable. We also included variables which are thought to be powerful predictors of a student's reading progress economic status and race/ethnicity (e.g. Snow, Burns, Griffin, 1998), We used lunch status (free or regular priced) as a proxy for socioeconomic status (SES) and White or Other for race,

To classify students into two groups according to spring text reading level (STRL) we set average performance in spring at text level 16. Students who scored at or above level 16 were considered successful, while those who scored below were considered unsuccessful. We used text level 16 because it corresponds to the fourth Stanine on national norms (Gómez-Bellengé & Thompson, 2005). Spring means on the TRL measure for each subgroup of the sample are presented in Table 3, broken down by demographic characteristics.

Table 3: Spring text level means and standard deviations (SD) for the study subgroups

	White Mean(SD)	African American Mean(SD)	Hispanic Mean(SD)	Free Lunch Mean(SD)	Regular Lunch Mean(SD)
RR	16.61 (5.57)	14.39 (5.79)	15.32 (6.74)	14.69 (6.28)	17.29 (5.03)
Not RR	13.96 (6.91)	9.23 (6.58)	9.47 (6.20)	9.43 (6.79)	14.83 (6.31)
Total	15.22 (6.44)	12.11 (6.65)	12.67 (7.11)	12.34 (7.01)	15.96 (5.89)

All possible factors and their interactions were included in the model as a start. The change of chi-square values relative to the change in the degrees of freedom was used as a criterion to drop or retain a factor or an interaction in the model. The process of building the model is illustrated in Table 4.

Table 4: Model Building with Logistic Regression

Model	Predictors	χ^2	df	Hosmer and Lemeshow Test (p-value)	$\Delta\chi^2$	Δdf	p	
1	Race, SES, RR							
	RR*Race	140.494	9.000	0.972				
	RR*SES							
	SES*Race							
2	Race, SES, RR							
	RR*Race	138.191	7.000	0.985	Model1- Model2	2.303	2.000	0.316
	RR*SES							
3	Race, SES, RR							
	RR*SES	133.664	5.000	0.808	Model2- Model3	4.527	2.000	0.104
4	Race, SES, RR							
	RR*Race	135.460	6.000	0.591	Model 2- Model 4	2.731	1.000	0.098
5	SES, RR RR*SES	132.197	3.000	1.000	Model 3- Model 5	1.467	2.000	0.480

Note: Model 5 was selected as the final model because dropping Race from Model 3 did not make a significant change to the chi-square value relative to the change of the degree of freedom ($p=.480$).

The final model included RR, SES, and the interaction between these two factors. Table 5 contains the coefficients and Wald statistics of the final model.

Table 5. Logistic Regression Using Text Reading Level as the Criterion for Success or Not

Variable	<i>B</i>	<i>Wald</i>	<i>Exp(B)</i>
RR or Not (RR)	-1.789 (.227)	62.206**	.167
Economic Status (SES)	-1.650 (.231)	50.872**	.192
RR * SES	.893 (.324)	7.587**	2.441
Constant	1.040 (.182)	32.766	2.829

Note: $G^2 = 946.212$; Cox & Snell $R^2=.156$. Nagelkerke $R^2 = .208$. ** $p < .01$. Standard error indicated in parentheses.

Since the interaction between RR and economic status (SES) was significant (Table 4), we examined whether this interaction was orthogonal or not to see if we could interpret one factor for each level of the other factor. Figure 1 shows the percentages of RR and not-RR students who met the success criterion for each level of SES (free lunch versus regular lunch) and the percentages of students receiving free lunch and regular lunch who met the criterion for each level of RR or not (RR versus not-RR). Neither of these trends indicates a

non-orthogonal interaction. Therefore, we can interpret the factor of RR for each level of SES (free lunch versus regular lunch).

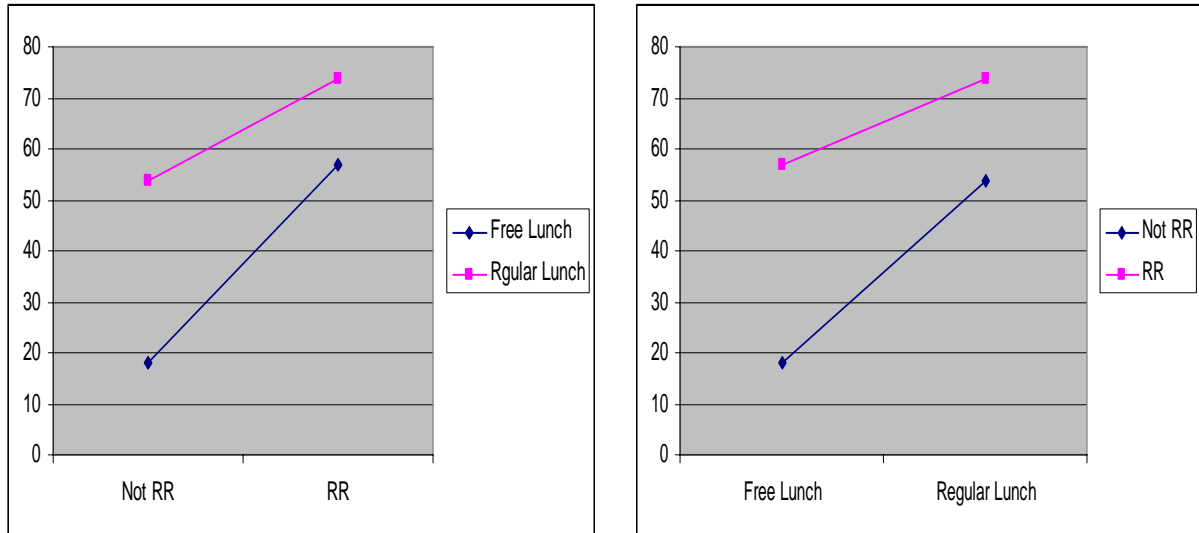


Figure 1: Success Rate for Each Group of Students under Study

Appendix A is a crosstab that shows the success and non-success frequencies and rates for RR and Not RR students as well as free lunch and regular lunch students. Figure 2 illustrates the frequencies of success and not-success for RR students and Not RR students with regular and free school lunches respectively.

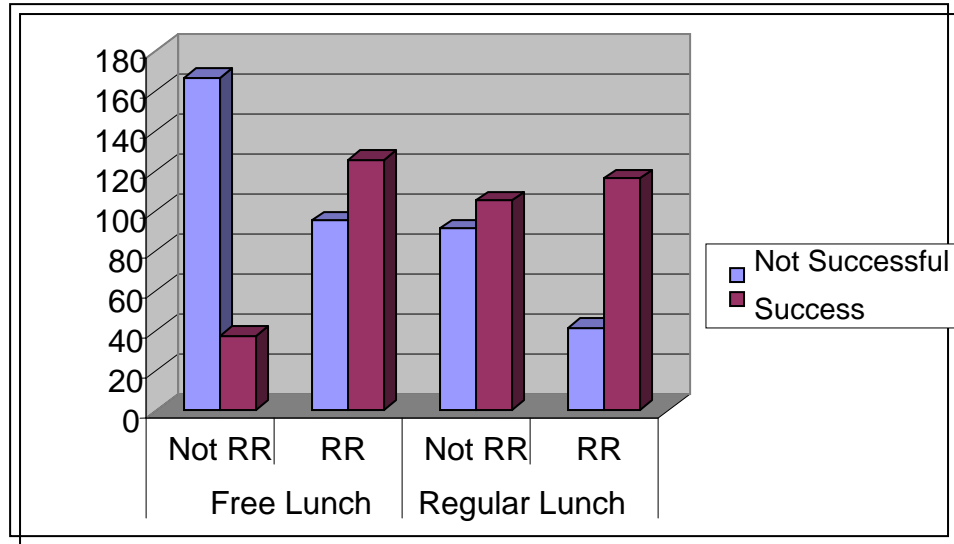


Figure 2: Frequencies of Success and Not-Success for Each Group of Students

Results

The model was a good fit ($G^2 = 946.212$; $df = 3$) and the variables for students' economic status and whether they were served by RR or not exceeded the usual significance levels ($p < .01$). Race (African American, White, or Hispanic) was not significant. The Bayesian Information Criterion (BIC) (Pampel, 2000) was 55.59 for RR or Not and 44.26 for economic status, suggesting both variables were very strong predictors of students' TRL in spring.

Since both factors in the model, RR or not and SES are dichotomous, the coefficients for this logistic regression represent the change in log odds for success in TRL when a student is identified as RR or not and free lunch or regular lunch. Negative values favor RR or regular lunch and positive values favor Not RR and free lunch.

An odds ratio is the probability of an event occurring (p) divided by the probability of an event not occurring ($1-p$). The coefficient for RR or not is -1.789 . This means that the odds of success for Not RR students decreased by a factor of $e^{-1.789}$, or 0.167. This is the new

odds ratio for students not served by RR when student SES is controlled. With the odds ratio of 0.167, the probability of success (p) is 0.14. When applied to 100 Not RR students who have the same SES, only 14 students succeeded in reading using TRL 16 as a criterion. This implies that not providing RR to students of the same SES changes the 50-50 sample to 14 students succeeded and 86 students failed (Figure 3.A).

Similarly, the coefficient for SES is -1.650. This means that the odds of success decreased by a factor of $e^{-1.650}$, or 0.192. This is the new odds ratio for students having free lunch when students' status in RR is controlled. With the odds ratio of 0.192, the probability of success (p) is 0.16. When applied to 100 Free Lunch students whose status of RR is the same, only 16 students succeeded in reading using TRL as a measure. This implies that free lunch status changes the 50-50 sample to 16 students succeeded and 84 students failed (Figure 3.B).

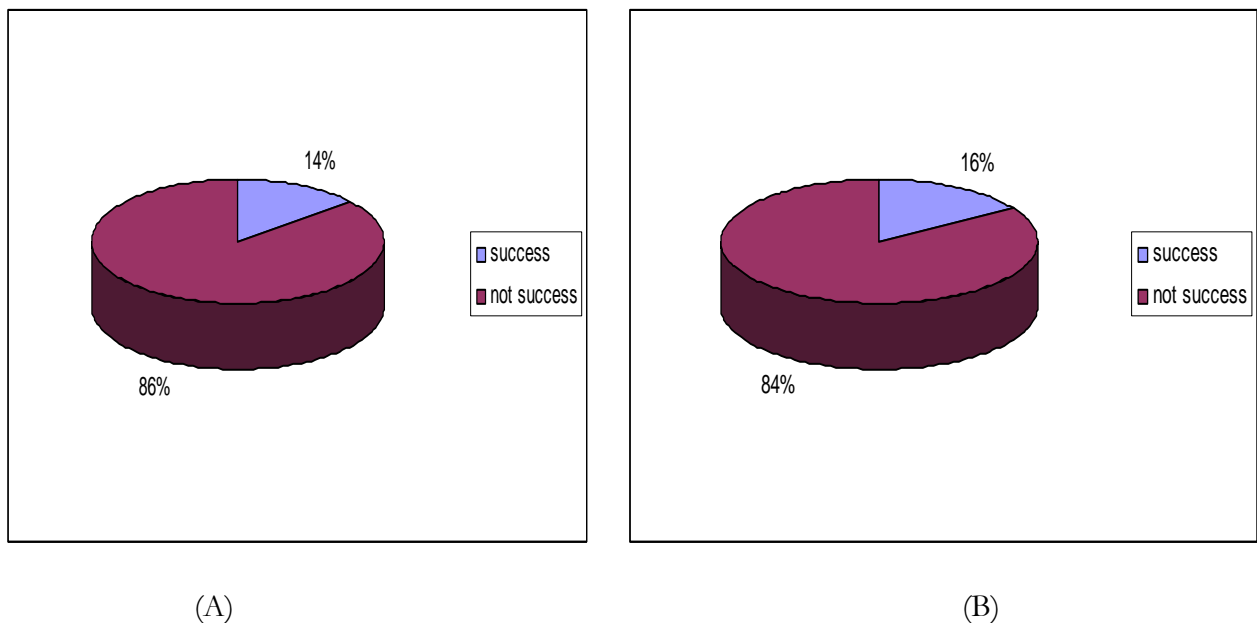


Figure 3: Probability of Success for Not RR Students When Their SES is Controlled (A) and for Free Lunch Students Whose RR status is Controlled (B)

Discussion

We hypothesized that participating in Reading Recovery, an early literacy intervention, would be related to a student's reading achievement at the end of first grade and the analyses supported that hypothesis. We also found that students' economic status was significantly related to their achievement in reading in spring, supporting research that shows pronounced differences in the achievement of children along economic lines (see Snow, Burns & Griffin, 1998 for an extensive review of the literature).

The more significant finding, however, is that the logistic regression reported higher absolute coefficient values for the variable of being served or not served by Reading Recovery than for the variable of economic status, indicating that having an early literacy intervention is more significantly related to students' performance in reading in spring than students' economic status. The model building process revealed that race, the interaction between race and RR, and the interaction between race and SES are all dropped from the logistic regression model, indicating that race is not a statistically significant predictor of first-grader's success in reading when other variables, RR and SES, are controlled. This finding underscores the potency, we think, of teaching and of intervening early to make a difference in students' reading progress.

The progress of RR students runs counter to what might normally be expected for low achieving students. Evidence from longitudinal research suggests that it is extremely unlikely that children will change their rank within their cohort: the lowest achieving first grade students tend to remain low achieving through fourth grade, and average students tend to remain average (Juel, 1988).

Our findings support Bainbridge and Lasley's hypothesis that it will take a systemic effort, and not a "one-shot workshop" or a "quick fix" to make a difference to the

achievement gap. Reading Recovery teachers take part in professional development for a year that comprises weekly graduate classes with a focus on the teaching of children. .

Following the first year of weekly professional development, Reading Recovery teachers take part in regularly scheduled, ongoing professional development sessions, so they continue to focus on teaching and learning after their initial training year. The sustained nature of the professional development of Reading Recovery, along with the in-depth, long-term nature of the training, qualifies it, we think, as a systemic effort.

Limitations

Findings are limited because the data are from a quasi-experimental instead of an experimental design. Students served by Reading Recovery and student not served by Reading Recovery are from different school districts and different regions, therefore, other factors such as teaching practices, school policies, and regional differences also impact students' achievement in reading and are unaccounted for in this study.

Educational Significance

Reading difficulties and the achievement gap are well-documented problems in the literacy field and related findings are of considerable interest to educational researchers. By now, the gains made by students who receive an early intervention are familiar to educators, but findings that show differential progress that narrows or closes the achievement gap are recent. To date, intervening early has not been evaluated as a predictor of student achievement, therefore this study may add to our understanding of the impact of an early intervention, such as Reading Recovery, on student achievement and on the achievement gap.

Several important implications for educators and administrators arise from the findings of this study. First, we think a complex response to the achievement gap is called

for because the reasons for the gap existing along racial and economic lines are complex. Societal factors play out within and outside the school that are resistant to change and affect each child's future. While we cannot draw a causal relationship between the teachers' Reading Recovery professional development to the progress of the Reading Recovery students, the results of Pinnell, Lyons, Deford, Bryk and Seltzer's (1994) quasi-experimental study lead us to think that the instruction and the teacher training likely did make a difference to student achievement. An investment in long term professional development in which teachers receive specialized preparation to work with the lowest achieving children, may constitute a complex response to a complex problem.

Finally, in order to make a difference to the achievement gap, it seems that educators should intervene early, as soon as students fall behind their peers, to provide help to children having difficulty learning to read as soon as they can be identified. This response may mean a shifting of resources, away from the current trend of allocating more teacher time to help third or fourth grade children pass high stakes tests, to providing extra help early on when students initially fall behind.

It is wholly unacceptable that factors which place children at risk for reading difficulties would have to do with their race/ethnicity or family economic status, yet as Zill (2002) points out, this seems to be the case. We agree with Bainbridge and Lasley (2002) that race/ethnicity and economic status are not in and of themselves determining factors; school effects play a large role in the gap. We suggest that it will take changes in school effects, in the form of one-to-one teaching with specially trained teachers, and intervening earlier rather than later, to make a difference to the achievement gap.

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Appendix A. Crosstab: Interaction between RR and SES

Student Lunch binomial				Success		Total
				not success	success	
Free Lunch	RR or not	Not RR	Count	167	37	204
			% within RR or not	81.9%	18.1%	100.0%
	RR	Count	95	126	221	
		% within RR or not	43.0%	57.0%	100.0%	
	Total	Count	262	163	425	
		% within RR or not	61.6%	38.4%	100.0%	
Regular Lunch	RR or not	Not RR	Count	91	105	196
			% within RR or not	46.4%	53.6%	100.0%
	RR	Count	41	116	157	
		% within RR or not	26.1%	73.9%	100.0%	
	Total	Count	132	221	353	
		% within RR or not	37.4%	62.6%	100.0%	